CASE LA0120 NP

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Art Unit: 1614

RYONO ET AL.

**APPLICATION NO: 10/826,100** 

FILED: APRIL 15, 2004

FOR: THYROID RECEPTOR LIGANDS

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants believe this paper is being filed before the mailing date of a first Office Action on the merits, and so under 37 C.F.R. §1.97(b)(3) no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-3880.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

Copies of these references are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Bristol-Myers Squibb Company Patent Department P.O. Box 4000 Princeton, NJ 08543-4000 (609) 252-3816

Date: Sept 23, 2004

Jonathan N. Provoost Attorney for Applicants

Respectfully submitted,

Reg. No. 44,292

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

# **INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

ATTY. DOCKET NO. LA0120 NP APPLICATION NO. 10/826,100 APPLICANT RYONO ET AL. FILING DATE APRIL 15, 2004

Group 1614

# **U.S. PATENT DOCUMENTS**

| <u> </u>           | <u> </u> |                 |          |                   |       |          |             |
|--------------------|----------|-----------------|----------|-------------------|-------|----------|-------------|
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|                    | AA       | 3,239,345       | 3/8/66   | Hodge et al.      |       |          |             |
|                    | AB       | 3,983,140       | 9/28/76  | Endo et al.       |       |          |             |
|                    | AC       | 4,027,009       | 5/31/77  | Grier et al.      |       |          |             |
|                    | AD       | 4,036,979       | 7/19/77  | Asato             |       |          |             |
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|---|-----|-----------------|---------|--------|-------|----------|-------------|---------------|
|   | AM  | EP 0 142 146    | 8/31/88 | EP     |       |          |             |               |
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| AR | Biller, S.A. et al., "Isoprenoid (Phosphinylmethyl)phosphonates as Inhibitors of Squalene Synthetase", Journal of Medicinal Chemistry, Vol. 31, No. 10, pp. 1869-1871 (1988)                          |
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| AS | Biller, S.A. et al., "Squalene Synthase Inhibitors", Current Pharmaceutical Design, Vol. 2, No. 1, pp. 1-40 (1996)  |
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**EXAMINER** 

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<sup>\*</sup>EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

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Group 1614

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|                     | 3AD                         | 5,612,359   | 3/18/97  | Murugesan  |  |  |             |  |
|                     | 3AE                         | 5,686,104   | 11/11/97   | Mills et al.   |  |  |             |  |
|                     | 3AF                         | 5,712,279   | 1/27/98  | Biller et al.  |  |  |             | <u>.                                      </u> |
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|                     |                             |   |  |  |  |  |             |  |
|                     | •                           | DOCUMENT NUMBER   | DATE   | OFFICE   | CLASS  | SUBCLASS   | TRAN<br>YES | ISLATION<br>NO                                 |
|                     | 3AM                         | DOCUMENT NUMBER WO 00/01389   | 1/13/00  | OFFICE PCT   | CLASS  | SUBCLASS   |             |  |
|                     | 3AM<br>AN                   |   |  |  | CLASS  | SUBCLASS   | YES         | NO   |
|                     | <del> </del>                |   |  |  | CLASS  | SUBCLASS   | YES         | NO   |
|                     | AN                          |   |  |  | CLASS  | SUBCLASS   | YES         | NO   |
|                     | AN<br>AO                    |   |  |  | CLASS  | SUBCLASS   | YES         | NO   |
|                     | AN<br>AO<br>AP              | WO 00/01389   | 1/13/00  |  |  |  | YES         | NO   |
|                     | AN<br>AO<br>AP              | WO 00/01389  OTHER DOC  | 1/13/00  UMENTS ( high-affinity s  | PCT  Including Author, Title, Date, Pertinel Subtype-selective agonist ligand  | nt pages, E  | tc.)   | YES         | NO   |
|                     | AN<br>AO<br>AP<br>AQ        | OTHER DOC<br>Chiellini, G. et al., "A r<br>Chemistry & Biology, V<br>Corey, E.J. et al., "App<br>Multistep Biosynthesis                                       | UMENTS ( high-affinity s Vol. 5, No. 6 clication of L b: Demonstr                              | PCT  Including Author, Title, Date, Pertinel Subtype-selective agonist ligand  | nt pages, E<br>for the the   | etc.)<br>hyroid hormo<br>esphates to S<br>Is an Essent               | YES         | NO  D D D D D D D D D D D D D D D D D D        |
|                     | AN<br>AO<br>AP<br>AQ<br>3AR | OTHER DOC  Chiellini, G. et al., "A h Chemistry & Biology, \( Corey, E.J. et al., "App Multistep Biosynthesis Intermediate on the Pa Couladouros, E.A. et al. | UMENTS ( nigh-affinity s Vol. 5, No. 6 colication of L s: Demonstr ath to Squale al., "A gener | Including Author, Title, Date, Pertine subtype-selective agonist ligand pp. 299-306 (1998)  Unreactive Analogs of Terpenoic ation That 'Presqualene Pyroph | for the the sphere of the sphe | estc.)  Inyroid hormo  Is an Essent  Is pp. 1291-1291  It 1: Synthes | YES         | NO  NO  Peptor",  of                           |

<sup>\*</sup>EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

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ATTY. DOCKET NO. LA0120 NP APPLICATION NO. 10/826,100 APPLICANT RYONO ET AL. FILING DATE APRIL 15, 2004

Group 1614

|                     |     |   | Ų.S. I   | PATENT DOCUMENTS  |             |          |             |                |
|---------------------|-----|---|----------|---|-------------|----------|-------------|----------------|
| EXAMINER<br>INITIAL |     | DOCUMENT NUMBER   | DATE     | NAME  | CL          | ASS SUBC | LASS        | FILING<br>DATE |
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|                     | 4AB | 5,962,440   | 10/5/99  | Sulsky  |             |          | Ī           |                |
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|                     | AE  |   |          |   |             |          |             |                |
|                     | AF  |   |          |   |             |          |             |                |
|                     | AG  |   |          |   |             |          |             |                |
|                     | АН  |   |          |   |             |          |             |                |
|                     | Al  |   |          |   |             |          |             |                |
|                     | AJ  |   |          |   |             |          |             |                |
|                     | AK  |   |          |   |             |          |             |                |
|                     | AL  |   |          |   |             |          |             |                |
|                     |     |   | FOREIG   | N PATENT DOCUMENTS  |             |          |             |                |
|                     |     | DOCUMENT NUMBER   | DATE     | OFFICE  | CLASS       | SUBCLASS | TRAN<br>YES | ISLATION<br>NO |
|                     | AM  |   |          |   |             |          |             |                |
|                     | AN  |   |          |   |             |          |             |                |
|                     | AO  |   |          |   |             |          |             |                |
|                     | AP  |   |          |   |             |          |             |                |
|                     | AQ  |   |          |   |             |          |             |                |
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<sup>\*</sup>EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

Sheet 5 of 6

**FORM PTO-1449** (REV. 7-85)

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO. **LA0120 NP** APPLICATION NO. 10/826,100 **APPLICANT** RYONO ET AL. **FILING DATE APRIL 15, 2004** 

Group 1614

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| 5AL      | Krause, B.R. et al., Chapter 6: "ACAT Inhibitors: Physiologic Mechanisms for Hypolipidemic and Anti-Atherosclerotic Activities in Experimental Animals", Inflammation: Mediators Pathways, CRC Press Inc., publ., Ruffolo, Jr., R.R. et al., eds., pp. 173-198 (1995)          |
| 5AK      | Kalinin, A.V. et al., "The Directed Ortho Metalation-Ullmann Connection. A New Cu(I)-Catalyzed Variant for the Synthesis of Substituted Diaryl Ethers", J. Org. Chem. Vol. 64, No. 9, pp. 2986-2987 (1999)   |
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| 6AL      | Yokoyama, N. et al., "Synthesis and Structure-Activity Relationships of Oxamic Acid and Acetic Acid Derivatives Related to L-Thyronine", J. Med. Chem., Vol. 38, No. 4, pp. 695-707 (1995)  |
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| 6АН      | Sorbera, L.A. et al., "Avasimibe: Treatment of Lipoprotein Disorders – ACAT Inhibitor", Drugs of Future, Vol. 24, No. 1, pp. 9-15 (1999)  |
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| 6AA      | Nicolosi, R.J. et al., "The ACAT Inhibitor, CI-1011 is effective in the prevention and regression of aortic fatty streak area in hamsters", Atherosclerosis, Vol. 137, pp. 77-85 (1998)   |

**EXAMINER** 

<sup>\*</sup>EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.